



**COPY**

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Date of Deposit: August 7, 2002

Attorney's Docket No: C01123/70001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Nishikawa et al.  
Serial No: 10/017,672  
Conf. No.: 9573  
Filed: December 14, 2001  
For: BINDING COMPOUNDS AND METHODS FOR IDENTIFYING BINDING  
COMPOUNDS  
Examiner: Unknown  
Art Unit: Not assigned

Box MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith are the following documents:


- ☒ Preliminary Amendment
- ☒ Fee Calculation Sheet
- ☒ Copy of Notice to File Missing Parts
- ☒ Declarations for Patent Application
- ☒ Revised Sequence Listing - Paper Copy w/identical Computer Readable Disk
- ☒ Statement Pursuant to 37 CFR 1.821(f) and 37 CFR 1.825 (a) and (b)

**Applicant claims small entity status.**

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

Please charge the required fee of \$490.00 to the undersigned, Deposit Account No. 23/2825. Please charge any deficiency or credit overpayment to same.

Respectfully submitted,

  
John R. Van Amsterdam, Reg. No. 40,212  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210  
Tel. (617)720-3500

Docket No. C01123/70001  
Dated: August 7, 2002  
X08/07/02

Inventor(s): Nishikawa et al.

Serial No: 10/017,672

Filed: December 14, 2001

CHECK BOX, if applicable:

For: BINDING COMPOUNDS AND METHODS FOR IDENTIFYING BINDING COMPOUNDS

☐ DUPLICATE

### Fee Calculation Sheet

CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
	TOTAL CLAIMS (37 CFR 1.16(c))	19-20=	0x	\$ 18	= \$ 0.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	1-3=	0 x	\$ 84	= \$ 0.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) +			\$	= \$
				BASIC FEE (37 CFR 1.16(a))	\$ 740.00
	Fee for Petition for Extension of Time (if any)				\$
	Total of above Calculations =				\$ 740.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				\$ 370.00
	Assignment Recordation Fee (if any)				\$
	Other Fees (if any).				\$ 65.00
	TOTAL =				\$ 435.00

1. Please charge the amount of \$ 435.00 to Deposit Account No. 23/2825.

**General Authorization to Charge Deposit Account and General Request for Extension of Time**

2. a. ☒ If the filing of any paper in this application necessitates the payment of a fee under 37 CFR §§ ☒ 1.16 ☒ 1.17 or ☒ 1.18, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.

b. ☐ The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR §§ ☐ 1.16 ☐ 1.17 or ☐ 1.18.

3. If the filing of any paper in this application necessitates an extension of time under 37 CFR §1.136(a), the applicant hereby requests such extension of time. If the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.

  
 John R. Van Amsterdam, Reg. No. 40,212  
 Wolf, Greenfield & Sacks, P.C.  
 600 Atlantic Avenue  
 Boston, MA 02210-2211  
 (617) 720-3500  
 Attorneys of Record

Docket No. C01123/70001 (JRV)  
 Date: August 7, 2002



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/017,672	12/14/2001	Nishikawa	C1123/7001

23628  
WOLF GREENFIELD & SACKS, PC  
FEDERAL RESERVE PLAZA  
600 ATLANTIC AVENUE  
BOSTON, MA 02210-2211



CONFIRMATION NO. 9573

## FORMALITIES LETTER



\*OC000000008041668\*

Date Mailed: 05/07/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

**For questions regarding compliance to these requirements, please contact:**

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at

patin21help@uspto.gov or patin3help@uspto.gov

**Items Required To Avoid Processing Delays:**

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

- Additional claim fees of **\$6562** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$7432** for a Large Entity

- **\$740** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$6562**
  - **\$6282** for **349** total claims over 20.
  - **\$280** for multiple dependent claim surcharge.

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*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE